

03-31-00

PTO/SB/05 (2-98)

Please type a plus sign (+) inside this box →

Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

03/30/00

545 U.S. P.O.

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.	15225-00041
First Named Inventor or Application Identifier	Winston, Thomas R., et al.
Title	METHODS AND APPARATUS FOR GUIDING A GUIDE WIRE
Express Mail Label No.	EL319729125US

Only for new nonprovisional applications under 37 CFR 1.53(b)

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification (Preferred arrangement set forth below) [Total Pages 18]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 5]
4. Oath or Declaration [Total Pages]
 a. ☐ Newly executed (original or copy)
 b. ☐ Copy from a prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 17 completed)
 [Note Box 5 below]
 i. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named
 in the prior application, see 37 CFR 1.63(d)(2) and
 1.33(b).
5. ☐ Incorporation by Reference (useable if Box 4b is checked)
 The entire disclosure of the prior application, from which a copy of the
 oath or declaration is supplied under Box 4b, is considered as being part
 of the disclosure of the accompanying application and is hereby
 incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

PTO
09/539015
03/30/00

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application,
(PTO/SB/09-12) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)
16. ☒ Other: Express Mail Certificate; Declaration and Power of Attorney and
Statement Claiming Small Entity Status for purposes of identification of
inventors only

NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL
ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR
APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09/060,487

Prior application information: Examiner: S. Shaw Group/Art Unit: 3737

18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)	or	<input type="checkbox"/> Correspondence address below
--	---	----	---

NAME	John S. Beulick				
	Armstrong Teasdale LLP				
ADDRESS	One Metropolitan Square				
	Suite 2600				
CITY	St. Louis	STATE	MO	ZIP CODE	63102-2740
COUNTRY	U.S.A.	TELEPHONE	(314) 621-5070	FAX	(314) 621-5065

Name (Print/type)	Tara A. Nealey	Registration No. (Attorney/Agent)	42,927
Signature	<i>Tara A. Nealey</i>	Date	3/30/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the
amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and trademark Office, Washington, DC 20231. DO NOT
SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT
15225-00041

**CERTIFICATE OF MAILING BY EXPRESS MAIL
TO THE ASSISTANT COMMISSIONER FOR PATENTS**

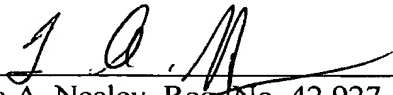
Express Mail mailing label number: **EL319729125US**

Date of Mailing: **March 30, 2000**

I certify that the attached continuation-in-part patent application of Serial No. 09/060,487, filed April 15, 1998, of **THOMAS R. WINSTON, JOHN M. NEET** and **NICHOLAS WOLFE** for **METHODS AND APPARATUS FOR GUIDING A GUIDE WIRE** including:

- Fourteen (14) pages of specification; three (3) pages of claims; one (1) page of abstract
- Five (5) sheets of drawings
- Patent Application Transmittal (1 pg.)
- Fee Transmittal (in duplicate) (1 pg.)
- Declaration and Power of Attorney (2 pgs.)(for identification purposes only)
- Verified Statement Claiming Small Entity (2 pgs.)(for identification purposes only)
- Certificate of Mailing Via Express Mail (1 pg.)
- Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231.



Tara A. Nealey, Reg. No. 42,927
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102
314/621-5070